

05/22/01  
1065 U.S. PTO

05-23-01

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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	
First Inventor	Reginald B. Little
Title	The Magnetic Production of Carbon Nanotubes and Filaments
Express Mail Label No.	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>63</b> ] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>19</b> ]	b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul>
5. Oath or Declaration [Total Pages <b>1</b> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>	c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other: .....	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. _____
Prior application information.		Examiner _____	Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label			<input type="checkbox"/> Correspondence address below		
(Insert Customer No. or Attach bar code label here)					
Name	Dr. Reginald Bernard Little				
Address	1555 Delaney Drive				
	Apt 1216				
City	Tallahassee	State	Florida	Zip Code	32308
Country	Leon	Telephone	850-893-7086	Fax	

Name (Print/Type)	Dr. Reginald B. Little	Registration No. (Attorney/Agent)	
Signature	Dr. Reginald B. Little	Date	May 22, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

Application Number  
Filing Date *May 22, 2001*  
First Named Inventor *Reginald Bernard Little*  
Examiner Name  
Group Art Unit  
Attorney Docket No.

TOTAL AMOUNT OF PAYMENT (\$)*382*

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
- Deposit Account Number   
Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	<i>355</i>
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)*355*

### 2. EXTRA CLAIM FEES

Total Claims *23* - 20\*\* = *3* X Fee from below *9* = *27*  
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)*27*

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	<i>0</i>
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	<i>0</i>
139 130	139 130	Non-English specification	<i>0</i>
147 2,520	147 2,520	For filing a request for ex parte reexamination	<i>0</i>
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	<i>0</i>
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	<i>0</i>
115 110	215 55	Extension for reply within first month	<i>0</i>
116 390	216 195	Extension for reply within second month	<i>0</i>
117 890	217 445	Extension for reply within third month	<i>0</i>
118 1,390	218 695	Extension for reply within fourth month	<i>0</i>
128 1,890	228 945	Extension for reply within fifth month	<i>0</i>
119 310	219 155	Notice of Appeal	<i>0</i>
120 310	220 155	Filing a brief in support of an appeal	<i>0</i>
121 270	221 135	Request for oral hearing	<i>0</i>
138 1,510	138 1,510	Petition to institute a public use proceeding	<i>0</i>
140 110	240 55	Petition to revive - unavoidable	<i>0</i>
141 1,240	241 620	Petition to revive - unintentional	<i>0</i>
142 1,240	242 620	Utility issue fee (or reissue)	<i>0</i>
143 440	243 220	Design issue fee	<i>0</i>
144 600	244 300	Plant issue fee	<i>0</i>
122 130	122 130	Petitions to the Commissioner	<i>0</i>
123 50	123 50	Processing fee under 37 CFR 1.17(q)	<i>0</i>
126 180	126 180	Submission of Information Disclosure Stmt	<i>0</i>
581 40	581 40	Recording each patent assignment per property (times number of properties)	<i>0</i>
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	<i>0</i>
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	<i>0</i>
179 710	279 355	Request for Continued Examination (RCE)	<i>0</i>
169 900	169 900	Request for expedited examination of a design application	<i>0</i>

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$)*0*

## SUBMITTED BY

Name (Print/Type)	<i>Reginald Bernard Little</i>	Registration No. (Attorney/Agent)		Complete (if applicable)
Signature	<i>Dr. Reginald Bernard Little</i>	Telephone	<i>850-893-7086</i>	Date
				<i>May 22, 2001</i>

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